

Form PTO-1390US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(Rev. 5-93)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NO. **H3574 PCT/US**

U.S. APPLICATION NO. (if known sec. 17 CFR 1.5) **09/856082**

INTERNATIONAL APPLICATION NO.
PCT/EP99/97532

INTERNATIONAL FILING DATE
November 6, 1999

PRIORITY DATE CLAIMED
November 17, 1998

TITLE OF INVENTION
LUBRICANTS FOR DRILLING FLUIDS

APPLICANT(S) FOR DO/EO/US
Heinz Mueller, Klaus-Peter Herold, Frank Bongardt, Nadja Herzog and Stephan von Tapavicza

Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information.:

Drawings (2 sheets)

"Express Mail" mailing label number EL541613196US

U.S. Application No. (If known see CFR 1.30) <div style="font-size: 2em; font-weight: bold; text-align: center;">09/856082</div>	INTERNATIONAL APPLICATION NO. PCT/EP99/08532	ATTORNEY'S DOCKET NUMBER H3574 PCT/US
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17. ■ The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$860.00 International preliminary examination fee paid to USPTO (37CFR 1.482) \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37CFR 1.445(a)(2)).... \$760.00 Neither international preliminary examination fee (37CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$1000.00 International preliminary examination fee paid to USPTO (37CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th colspan="2" style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td style="height: 100px;"></td> <td></td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY				
CALCULATIONS	PTO USE ONLY						

ENTER APPROPRIATE BASIC FEE AMOUNT =	\$	860 00
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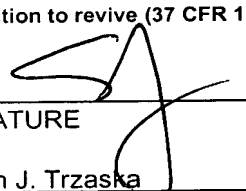
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date 37 (CFR 1.492(e)).	\$	
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Claims	Number filed	Number Extra	Rate		
Total Claims	13 - 20 =	0	X 18.00	\$	0 00
Independent Claims	1 - 3 =	0	X 80.00	\$	0 00
Multiple dependent claims (s)(if applicable)		0	+ 260.00	\$	0 00
TOTAL OF ABOVE CALCULATIONS =				\$	860 00
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$	860 00
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37CFR 1.492(f)).....				\$	
TOTAL NATIONAL FEE =				\$	860 00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$	860 00
				Amount to be: refunded	\$-----
				charged	860.00

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
 b. ■ Please charge my Deposit Account No. 50-1177 in the amount of **\$ 860.00** to cover the above fees.
 A triplicate copy of this sheet is enclosed. Order No. 01-0302.
 c. ■ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 50-1177. A triplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must
 be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO: Cognis Corporation, Law Dept.
 2500 Renaissance Blvd., Ste. 200
 Gulph Mills, PA 19406


 SIGNATURE
 Steven J. Trzaska
 NAME ATTORNEY FOR APPLICANT
 36,296
 REGISTRATION NUMBER